

APPLICATION
FOR
UNITED STATES LETTERS PATENT

TO THE UNITED STATES PATENT AND TRADEMARK OFFICE

TITLE: PHRASE-BASED TEXT SEARCHING

APPLICANT: DOUGLAS H. BEEFERMAN

CERTIFICATE OF MAILING BY EXPRESS MAIL

Express Mail Label No. EL298430339US

I hereby certify under 37 CFR §1.10 that this correspondence is being deposited with the United States Postal Service as Express Mail Post Office to Addressee with sufficient postage on the date indicated below and is addressed to the Commissioner for Patents, Washington, D.C. 20231.

4/14/01

Date of Deposit

Joshua B Cronin

Signature

Joshua Cronin

Typed or Printed Name of Person Signing Certificate

PHRASE-BASED TEXT SEARCHING

Technical Field

5 This invention relates generally to phrase-based text searching and, more particularly, to determining whether to perform a text search for a phrase as a whole or for individual words in the phrase.

Background

10 Internet search engines operate by searching the Internet for input keywords. Delineating the keywords using operators, such as quotation marks, causes some search engines to search the Internet for the entire phrase between the operators. For example, inputting "hot dog" 15 into a search engine will return a list of documents that contain the word "hot" immediately followed by the word "dog". Omitting operators may cause the search engine to return a list of documents that contain the words "hot" and/or "dog", but not necessarily the phrase "hot dog". 20 This can lead to poor search results.

Summary

In general, in one aspect, the invention is directed to a computer-implemented process which includes establishing a database containing data corresponding to a 5 probability that words occur together in text, receiving a phrase comprised of the words, retrieving the data for the words from the database in response to receiving the phrase, and determining, based on the data, whether to perform a text search for the phrase as a whole or for the 10 words individually. This aspect of the invention may include one or more of the features set forth below.

The process of establishing the database may include searching through text from one or more documents and determining a metric indicative of the probability that 15 words will occur together in text of one or more documents. The metric may be determined based on a probability that the words will occur together and a probability that the words will occur individually. The metric may be a ratio of the probability that the words will occur together and 20 the probability that the words will occur individually.

The one or more documents may include World Wide Web pages.

The process of determining how to perform a text search may include comparing data to a predetermined threshold, performing the text search for the phrase as a whole if the data exceeds the predetermined threshold or 5 performing the text search for the words individually if the data does not exceed the predetermined threshold. The text search may be performed on another database. The other database may include the Internet. The words may include two or more words in series.

10 If it is determined to perform the text search for the phrase as a whole, the process performs the text search for the phrase as a whole. The text search may be performed for the words individually after performing the text search for the phrase as a whole. If it is determined to perform 15 the text search for the words individually, the process performs the text search for the words individually.

The process may include issuing a message, based on a result of the determination, asking whether to perform the text search for the phrase as a whole and performing the 20 text search for the phrase as a whole or for the words individually based on a response to the message. The one or more documents may include a past query log.

Other features and advantages of the invention will become apparent from the following description, including the claims and drawings.

Brief Description of the Drawings

5 Fig. 1 is a block diagram of a network.

Fig. 2 is a flowchart of a process for performing text searches over the network of Fig. 1.

Fig. 3 is a flowchart of an alternative process for performing text searches over the network of Fig. 1.

10 Fig. 4 is a flowchart of an alternative process for performing text searches over the network of Fig. 1.

Description

Fig. 1 shows a system 10. System 10 includes a computer 12, such as a personal computer (PC). Computer 12 is connected to a network 14, such as the Internet, that runs TCP/IP (Transmission Control Protocol/Internet Protocol) or another suitable protocol. Connections may be via Ethernet, wireless link, telephone line, or the like. Network 14 contains a server 16, which may be a mainframe computer, a PC, or any other type of processing device.

Computer 12 contains a processor 18 and a memory 20 (see view 22). Memory 20 stores an operating system ("OS") 24 such as Windows98®, a TCP/IP protocol stack 26 for communicating over network 14, and a Web browser 28 such as 5 Internet Explorer® or Netscape Navigator®, for accessing Web sites and pages hosted by devices on network 14.

Server 16 contains a processor 30 and a memory 32 (see view 34). Memory 32 stores machine-executable instructions 36, OS 38, TCP/IP protocol stack 40, and database 42 10 relating to users' Web searches. Database 42 is described below. Instructions 36 may be part of an Internet search engine (or not), and are executed by processor 30 to perform processes 44, 46 and 48 below. That is, a user at computer 12 uses Web browser 28 to access server 16, which, 15 in response to a user-input phrase, executes instructions 36 to perform the processes described in Figs 2 to 4.

Referring to Fig. 2, process 44 is shown for performing phrase-based Internet searches. In this embodiment, process 44 contains two phases: a training 20 phase 50 and a run-time phase 52. Training phase 50 may be executed one or more times prior to the first execution of run-time phase 52 and then at predetermined periods of time

thereafter, or as desired. Run-time phase 52 is executed each time a user searches the Internet (or whatever database process 44 is being used to search).

During training phase 50, process 44 establishes (201) 5 a database 42 that contains data corresponding to a probability that two or more words will occur together in text. What is meant by "together" in this context is that the words are in series, adjacent, or within a number of words of each other. Process 44 establishes (201) the 10 database by searching (201a) through text from one or more documents, such as World Wide Web pages, and determining (201b) a metric indicative of the likelihood that the words will occur together (versus individually) in the text. Process 44 may search through any number of documents, but 15 preferably uses a statistically-relevant sampling.

By way of the example described in the Background section above, process 44 searches through World Wide Web pages to determine the probability that the words "hot" and "dog" will occur together in text. Process 44 also 20 searches through the same documents to determine the probability that the words "hot" and "dog" will occur individually, i.e., simply that the words occur, either

together or alone, in the documents.

Process 44 determines a metric that is based on the probability that the words will occur together and the probability that the words will occur individually. In this embodiment, the metric is a ratio of the probability that the words will occur together to the probability that the words will occur individually. That is, in the above example, the probability is the ratio of the probability of the phrase "hot dog" (i.e., the words occurring together) occurring in the sampled documents, to the probability of the words "hot" and "dog" occurring individually, i.e., not together in the sampled documents.

The metric can be determined mathematically from

15
$$P(w_1 w_2 w_3 \dots w_n) / P(w_1) P(w_2) \dots P(w_n), \quad (1)$$

where $P(w_1 w_2 w_3 \dots w_n)$ is the probability that words $w_1 w_2 w_3 \dots w_n$ will occur together in the documents searched, that is, as a phrase, and $P(w_n)$ is the probability that the words will occur individually in the documents searched.

Equation (1) above is substantially equivalent to

$$P(w_1)P(w_2|w_1)P(w_3|w_2)\dots P(w_n|w_{n-1})/P(w_1)P(w_2)\dots P(w_n), \quad (2)$$

where $P(w_n|w_{n-1})$ is the probability that word w_n will precede word w_{n-1} in the text. By canceling terms, equation 5 (2) simplifies to

$$P(w_2|w_1)P(w_3|w_2)\dots P(w_n|w_{n-1})/P(w_2)\dots P(w_n), \quad (3)$$

which is used by process 44 to determine the metric for the 10 phrase $P(w_1 w_2 w_3 \dots w_n)$.

Process 44 stores (201c), in database 42, the metric derived from equation (3) for each of plural predetermined phrases. Process 44 may re-establish and/or update this database as desired. The more phrases that are 15 incorporated into database 42, the more accurate the search results will be, as is evidenced below.

During run-time phase 52, process 44 receives (202) a phrase comprised of two or more words. For illustration's sake, we will use the bigram (i.e., two word) model. This 20 means that database 42 contains metric data for two-word phrases and that a two-word phrase has been input to process 44, e.g., via the graphical user interface (World

Wide Web page) of an Internet search engine.

Process 44 searches through database 42 to determine if the input phrase matches a phrase in database 42. If there is a match, process 44 retrieves (203) the metric data for that phrase from database 42. Process 44 determines (204), based on the metric data, whether to perform a text search for the phrase as a whole (e.g., for "hot dog") or for the words individually (e.g., for "hot" and "dog").

10 Process 44 makes the determination (204) by comparing the metric data for the phrase to a predetermined threshold. If the metric data exceeds the predetermined threshold, process 44 performs (205) the text search for the phrase as a whole. In this embodiment, the text search 15 is of the Internet; however, it may be of any database. If the metric data does not exceed the predetermined threshold, process 44 performs (206) the text search for the words individually. The threshold is set beforehand, e.g., in memory 32, to provide a desired tolerance. That 20 is, the metric data for each phrase (the result of equation (3)) is indicative of the likelihood that a user desires to search for an entire phrase as opposed to individual words

in that phrase. The threshold is set so that process 44 only searches for phrases with a certain likelihood.

Following searching, process 44 returns (207) a list of documents to the user based on the search results.

5 Typically, the list contains hyperlinks to the documents.

Fig. 3 shows an alternative to process 44. Process 46 of Fig. 3 is identical to process 44 of Fig 1, with one difference. If process 46 decides (304) to perform a search for the phrase as a whole, process 46 performs (305) the required search and then performs (306) a search for the words individually. Process 46 returns (307) a list of documents containing the phrase as a whole followed, in the list, by documents that contain the words individually.

10 Thus, process 46 gives priority to phrase-based searches, while still searching for the words individually.

15 Fig. 4 shows an alternative to processes 44 and 46. Process 48 is identical to process 46, except that process 48 provides the user with an option to select or reject searching for phrases as a whole. In more detail, process 20 48 determines (404) whether to perform a search for the phrase as a whole or for the words individually. If process 48 decides to perform a search for the phrase as a

whole, process 48 issues (405) the user a message asking whether the user would like to search for the phrase as a whole or for the words individually.

Process 48 receives (406) a response to the message 5 from the user. If the response indicates to perform a search for the phrase as a whole (407), process 48 performs (408) the search for the phrase as a whole. If the response indicates to perform a search for the words individually (407), process 48 performs (409) the search 10 for the words individually. The remainder of process 48 is identical to process 44 described above.

It is noted that elements of processes 44, 46, and 48 may be combined to form embodiments not explicitly described herein. For example, the message elements of 15 process 48 may be incorporated into process 46 to provide the user with an option to perform priority searching, such as the searching technique described in process 46.

Processes 44, 46 and 48 are not limited to use with the hardware/software configuration of Fig. 1; they may 20 find applicability in any computing or processing environment. Processes 44, 46 and 48 may be implemented in hardware (e.g., an ASIC {Application-Specific Integrated

Circuit} and/or an FPGA {Field Programmable Gate Array}), software, or a combination of hardware and software.

Processes 44, 46 and 48 may be implemented using one or more computer programs executing on programmable 5 computers that each includes a processor, a storage medium readable by the processor (including volatile and non-volatile memory and/or storage elements), at least one input device, and one or more output devices.

Each such program may be implemented in a high level 10 procedural or object-oriented programming language to communicate with a computer system. Also, the programs can be implemented in assembly or machine language. The language may be a compiled or an interpreted language.

Each computer program may be stored on a storage 15 medium or device (e.g., CD-ROM, hard disk, or magnetic diskette) that is readable by a general or special purpose programmable computer for configuring and operating the computer when the storage medium or device is read by the computer to perform processes 44, 46 and 48.

20 Processes 44, 46 and 48 may also be implemented using a computer-readable storage medium, configured with a computer program, where, upon execution, instructions in

the computer program cause the computer to operate in accordance with processes 44, 46 and 48.

Processes 44, 46 and 48 are not limited to use with the Internet, and may be used with any type of database.

5 For example, processes 44, 46 and 48 may be used to search past query logs, i.e., stored previous user queries. That is, processes 44, 46 and 48 may store successful user queries in memory and then search those queries to determine if input words should be searched for as a phrase
10 or as individual words. Processes 44, 46 and 48 are not limited to use in a network context or to use with any particular search engine.

Other embodiments not described herein are also within the scope of the following claims.

15 What is claimed is: